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AT \$1646

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	08/444,790
Filing Date	May 19, 1995
First Named Inventor	Manfred Brockhaus, et al.
Art Unit	1646
Examiner Name	R. Schwadron, Ph.D.
Attorney Docket Number	13140 US3

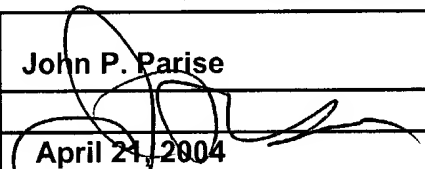
Total Number of Pages in This Submission

## ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Status Letter
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<input type="checkbox"/> Certified Copy of Priority Document(s)	Remarks	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		


## Cited References (3)

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	John P. Parise
Signature	
Date	April 21, 2004

## CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	John R. Parise		
Signature		Date	April 21, 2004

This collection of information is required by 37 CFR 1.51. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PATENT APPLICATION  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re patent application

Inventors: Manfred Brockhaus, et al.

Hoffmann-La Roche Inc.  
340 Kingsland Street  
Nutley, NJ 07110  
April 21, 2004

Serial No.: 08/444,791  
(Reference No. 13140 US3)

Filed: May 19, 1995

For: **HUMAN TNF RECEPTOR**

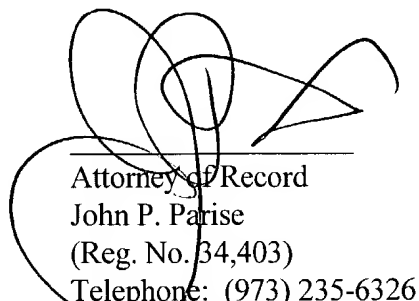
**REQUEST TO CHARGE DEPOSIT ACCOUNT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Transmitted herewith is an **SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT** in the above-identified application.

- ☒ Please charge my Deposit Account No. 08-2525 in the amount of \$180.00 which is the fee in connection with the filing of the enclosed paper.
- ☒ The Commissioner is hereby authorized to charge any additional fees associated with this communication or credit any overpayment to Deposit Account No. 08-2525.
- ☒ This sheet is provided in duplicate.

  
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PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application

Inventor(s): Manfred Brockhaus, et al.

Group: 1646

Serial No.: 08/444,791, filed: May 19, 1995  
(Ref. No. 13140 US3)

Examiner: R. Schwadron, Ph.D.

For: **HUMAN TNF RECEPTOR**

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Nutley, New Jersey 07110

Date: April 21, 2004

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, applicants respectfully direct the Examiner's attention to the documents listed on enclosed Form PTO-1449.

Documents B16, C31 and C32 listed on Form PTO-1449 are enclosed.

Enclosed is a Statement in Support of the Opposition of IPS dated December 30, 2003 (and related filing papers), issued by the European Patent Office in the corresponding application. The documents listed above have previously not been considered by the Patent Office.

Consideration of all the documents cited on Form PTO-1449 is requested.

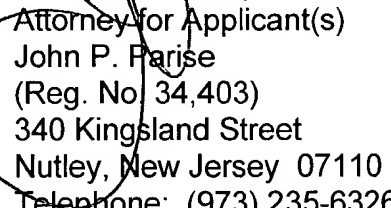
04/27/2004 AADDF01 00000062 082525 08444791

01 FC:1806 180.00 DA

Serial No. 08/444,791  
Filed: May 19, 1995

Since the Supplemental Information Disclosure Statement is submitted after the first Office Action on the merits and after three months of the filing date for the captioned application, there is enclosed a Fee Sheet.

Respectfully submitted,



\_\_\_\_\_  
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[illegible]

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation or abstract is attached.

Substitution for form 1449A/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use several sheets if necessary)				<b>Complete if Known</b>	
				Application Number	08/444,791
				Filing Date	May 19, 1995
				First Name Inventor	Manfred Brockhaus, et al.
				Group Art Unit	1646
				Examiner Name	R. Schwadron, Ph.D.
Sheet	2	of	2	Attorney Docket Number	13140 US3

## NON PATENT LITERATURE DOCUMENTS

Examiner Initials'	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
	C31	FISHER, et al., N. Engl. J. of Med. 334, pp. 1697-1702 (1996)	
	C32	HSU, et al., J. Biol. Chem. 268, pp. 16430-16436 (1993)	
Examiner Signature		DATE CONSIDERED	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>Applicant is to place a check mark here if English language Translation or abstract is attached.

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